THE ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

DOCKET NUMBER: AUS920011024US1

DATE: 1-31-02

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Stephen S. Breese, Stig Arne Olsson, Geetha Vijayan

For: Method and System for Performance Reporting in a Network Environment

Enclosed are:

- X Patent Specification and Declaration (3)
- X 8 sheets of drawing(s). (Informal)
- X An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- ____ A certified copy of a ____ application.
- X Information Disclosure Statement, PTO/SB/08A and B and copies of 17 references.

The filing fee has been calculated as shown below:

| For | Number Filed | Number Extra | Rate | Fee | |
|-----------------|-----------------|-----------------|-------------------|-----|-----------|
| Basic Fee | | | | | \$ 740.00 |
| Total Claims | 59 - 20 | 39 | x \$ 18 = | · | \$ 702.00 |
| Indep. Claims | 5 - 3 | 2 | x \$ 84 = | | \$ 168.00 |
| MULTIPLE DEPENI | DENT CLAIMS PI | RESENTED (| $0 \times $280 =$ | | \$ 0.00 |
| | | | TOTA | L | \$1610.00 |

- \underline{X} Please charge my Deposit Account No. $\underline{09-0447}$ in the amount of $\underline{\$1610.00}$. A duplicate copy of this sheet is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <u>09-0447</u>. A duplicate copy of this sheet is enclosed.
 - X Any additional filing fees required under 37 CFR 1.16.
 - X Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

David A. Mims, Jr.

Registration No. 32,708

Intellectual Property Law Dept.

IBM Corporation

11400 Burnet Road

Austin, Texas 78758

Telephone (512) 823-0950

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Group Art Unit:

Stephen S. Breese

Stig Arne Olsson

Geetha Vijayan

: Intellectual Property

Serial No.: : Law Department

Filed: : International Business

: Machines Corporation

: 11400 Burnet Road

: Austin, Texas 78758

Title: Method and System for

Performance Reporting in a :

Network Environment :

IBM Docket No.: AUS920011024US1 :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

IBM Docket No.: AUS920011024US1



PATENT

While this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this
Information Disclosure Statement shall not be construed to mean
that a search has been made or that no other material information
as defined in 37 CFR 1.56 (a) exists.

The attached forms, PTO/SB/08A and 08B, provide a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO/SB/08A and 08B is supplied herewith.

Respectfully submitted,

Paul D. Heydon

Attorney for Applicant

Reg. No. 46,769

6102 Broadway, Suite B2

San Antonio, TX 78209

(210) 930-4300

IBM Docket No.: AUS920011024US1

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PTO/SB/08A (08-00)
Approved for use through 10/31/2002 OM8 0651-0031
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| the Paperwork Reduction Act of 1995, no persons are required to re Substitute for form 1449A/PTO | | | | Co | Complete if Known | | | |
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| STA | TEMENT | BY A | IPPLICANT | First Named Inventor | S. Breese | <u>w</u> C | | |
| | | | | Group Art Unit | | | | |
| | | | | Examiner Name | | | | |
| | | | | Attorney Docket Number | | | | |

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| Examiner Initials* | Kind Code() | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | |
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¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449B/PTO | Application Number | |
| INFORMATION DISCLOS | SURE Filing Date | |
| STATEMENT BY APPLIC | | r S. Breese |
| STATEMENT BY ALLEN | Group Art Unit | |
| | Examiner Name | |
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| Examiner Initials | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Τ² | | |
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